



Collier County

2019-2020 Annual Action Plan

Prepared May 2019

By:



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Executive Summary

AP-05 Executive Summary - 24 CFR 91.200(c), 91.220(b)

1. Introduction

Collier County is an entitlement jurisdiction eligible to receive community planning and development funding from the U.S. Department of Housing and Urban Development (HUD). As such, HUD requires that the County develop a Five-Year Consolidated Plan to identify its housing and community development priorities and outline a strategy to implement HUD programs. HUD also requires that the County prepare an Annual Action Plan showing the objectives the County plans to achieve each year. Collier County prepared its 2016-2020 Five-Year Consolidated Plan in 2016. This FY 2019-2020 Action Plan outlines goals, objectives, and projects for the fourth program year covered by the current Consolidated Plan. It also serves as the County's application to HUD, requesting its allocation of Community Development Block Grant (CDBG), HOME Investment Partnerships (HOME), and Emergency Solutions Grant (ESG) funds.

HUD released Collier County's FY 2019-2020 allocations, showing that the County will receive \$2,500,693 in CDBG funds; \$633,746 in HOME funds; and \$200,352 in ESG funds.

The goal of the community planning and development programs covered by this Annual Action Plan is to develop viable communities by providing decent housing, a suitable living environment, and expanding economic opportunities principally for people with low- and moderate-incomes. The County made funding determinations for specific projects during FY 2019-2020 based on input from residents, non-profit and social service agencies that serve low- and moderate-income residents, municipalities including the City of Naples, and other stakeholders. Input was collected during the planning processes for the 2019-2020 Action Plan and the 2016-2020 Consolidated Plan.

2. Summarize the objectives and outcomes identified in the Plan

Goals, projects, and objectives outlined in this 2019-2020 Action Plan are based on the goals developed during the planning process for the 2016-2020 Consolidated Plan. Specific projects and objectives anticipated for the upcoming program year include:

- Public infrastructure improvements to construct or improve sidewalks in the Immokalee CRA and the City of Naples, install or upgrade fire control infrastructure in the Bayshore CRA, conduct an engineering study to improve stormwater management in the Naples Manor neighborhood, and design and engineering of a new fire station in Immokalee.
- Facility improvements to renovate a Youth Haven cottage which provides emergency and transitional housing to homeless youth, infrastructure and disaster mitigation improvements for the Youth Haven homeless facility, renovation of restrooms at a shelter for victims of domestic violence and their children, renovation of a senior center, and renovation of a building that houses the Legal Aid Services of Collier County.

- Public services to provide legal services to 150 victims of domestic violence, supervised visitation and exchange services to 125 persons, transportation for 100 students to and from school, home, and the Boys and Girls Club, and transportation for 50 developmentally disabled residents.
- Construction, acquisition, and rehabilitation of affordable housing and tenant based rental assistance for low- and moderate-income households.
- Shelter operations support to provide emergency and transitional housing for 180 victims of domestic abuse.
- Rapid rehousing for 3 households.
- Homeless Management Information System (HMIS) support.
- Administration of CDBG, HOME, and ESG programs by Collier County Community and Human Services Division.

3. Evaluation of past performance

Each year, Collier County reports its progress in meeting its five-year and annual goals by preparing a Consolidated Annual Performance Evaluation Report (CAPER). The CAPER is submitted to HUD within 90 days of the start of the new program year. According to Collier County's most recent CAPER (FY 2017-2018), the County assisted 115 households with affordable housing through rental assistance, downpayment assistance, and the construction, acquisition, and rehabilitation of housing; 18,942 residents through public services; and 405 residents through facility improvements using CDBG funds. With ESG funds, the County served 423 persons with emergency shelter services and 3 households with rapid re-housing or homelessness prevention. Copies of recent CAPERs are available for review at the Collier County Community and Human Services Division or online at <http://www.colliergov.net/your-government/divisions-a-e/community-and-human-services>. The FY 2018-2019 CAPER will be available in December 2019.

4. Summary of Citizen Participation Process and consultation process

While preparing the FY 2019-2020 Action Plan, Collier County's CHS Division hosted a public meeting on January 9, 2019 at the Golden Gate Community Center to seek input on the Action Plan from residents and stakeholders. The County also provided information on its application process so that eligible applicants might request funding under the CDBG, HOME, and ESG programs. This meeting and the grant application cycle was advertised in the *Naples Daily News*, the *Immokalee Bulletin*, on the County's website, and through direct email to a master list of local organizations.

The funding application period was open from January 4 through February 11, 2019. During this time CHS provided one-on-one technical assistance to different groups representing low- and moderate-income residents. The technical assistance meetings were conducted January 23 through January 25, 2019. A Review and Ranking Committee Meeting was held on March 1, 2019 and was also an advertised public meeting.

Public comments on the draft Action Plan were accepted throughout a 30-day comment period from May 24, 2019 through June 25, 2019. The County's Affordable Housing Advisory Committee reviewed and discussed the draft Action Plan at a public meeting on June 3, 2019. A public hearing to receive comments on the Action Plan was held before the Board of County Commissioners on June 25, 2019.

Availability of the draft Action Plan, opening of the comment period, and the public hearing were advertised in the *Naples Daily News* on or about May 24, 2019. The notice included a summary of the proposed projects and the estimated amount of funding anticipated by the County. The notice stated that the draft Action Plan could be viewed on the County's website at www.colliercountyfl.gov and would also be available for review in all County public libraries and at the Community and Human Services Division's office.

5. Summary of public comments

Any comments received during the development of the Annual Action Plan will be summarized here.

6. Summary of comments or views not accepted and the reasons for not accepting them

All comments were taken into consideration in preparing the Action Plan.

7. Summary

During FY 2019-2020, Collier County anticipates allocating \$4,825,019 (including program income and prior years unallocated resources) in CDBG, HOME, and ESG funding to address the priority needs and goals identified in the 2016-2020 Consolidated Plan. These goals include providing public services; improving public infrastructure and facilities; supporting development of affordable housing for homeownership; supporting rental assistance, emergency housing and services for the homeless; and supporting the Homeless Management Information System (HMIS).

PR-05 Lead & Responsible Agencies – 91.200(b)

1. Agency/entity responsible for preparing/administering the Consolidated Plan

Describe the agency/entity responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source.

Agency Role	Name	Department/Agency
CDBG Administrator	COLLIER COUNTY	Community & Human Services Division
HOME Administrator	COLLIER COUNTY	Community & Human Services Division
ESG Administrator	COLLIER COUNTY	Community & Human Services Division

Responsible Agencies

Narrative (optional)

The Collier County Community & Human Services Division is the lead agency responsible for the development, administration, and review of the 2019-2020 Action Plan and 2016-2020 Consolidated Plan. The Division administers Community Development Block Grant (CDBG), HOME Investment Partnerships (HOME), and Emergency Solutions Grant (ESG) funds received from the U.S. Department of Housing and Urban Development (HUD), and coordinates execution of projects related to the priorities and goals identified in the Consolidated Plan.

Consolidated Plan Public Contact Information

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AP-10 Consultation – 91.100, 91.200(b), 91.215(I)

1. Introduction

Collier County conducted significant public outreach to garner input from county staff, government agencies, nonprofit agencies, affordable housing developers and managers, local service providers, the Collier County Housing Authority (CCHA), community residents, and others in preparing this plan. The County held a public meeting and technical assistance workshop to solicit input on potential projects for funding and held one-on-one technical assistance meetings over three days to ensure that all potential applicants for its grant funding application process were fully versed in the requirements and expectations for the funds. In addition, the Review and Ranking Committee Meeting on March 1, 2019, was a public meeting. The County also held a 30-day public comment period from May 24 through June 25, 2019, with a Board of County Commission public hearing on June 25th to receive any public comments on the draft document prior to approval.

Provide a concise summary of the jurisdiction’s activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health and service agencies (91.215(I))

Collier County recognizes the importance of public services for people with mental health and substance abuse needs, and the related importance of coordination between public and assisted housing providers and health, mental health, and service agencies.

The County currently contracts with local mental health/substance abuse providers to deliver approximately \$2.4 million in services to these at-risk populations annually. Through a grant from the State of Florida Department of Children and Families, the County receives approximately \$350,000 a year for a Criminal Justice, Mental Health and Substance Abuse grant to support its Forensic Intensive Reintegration Support Team (FIRST), which works with persons who suffer from substance abuse and/or mental illnesses who are re-entering the community from jail. The County also received a separate grant from the Federal Bureau of Justice Assistance for its Adult Drug Court program. This program diverts non-violent offenders with substance abuse and drug-related criminal activity from the criminal justice system by offering intensive rehabilitation, including therapy, medical treatment, and case management.

Beyond support for the mental health/substance abuse population specifically, Collier County provides funds for several projects designed to strengthen the housing-services link through its HUD grant monies, including CDBG funding for Youth Haven shelter facility improvements and ESG funding to support operation of the Shelter for Abused Women and Children.

In addition to enhancing coordination through the use of HUD funds, Collier County encourages dialogue and collaboration between housing and service agencies through the Collier County Hunger & Homeless Coalition, which serves as the lead agency for the County’s Continuum of Care. The Hunger & Homeless

Coalition holds regular meetings in Naples, and members include more than 60 public and private agencies within the region. Some of the participating organizations include Youth Haven, Career Source Southwest Florida, Salvation Army, David Lawrence Center, United Way of Collier, and Cancer Alliance of Naples.

Describe coordination with the Continuum of Care and efforts to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans, and unaccompanied youth) and persons at risk of homelessness.

Collier County staff coordinates with the local Continuum of Care managed by the Collier County Hunger & Homelessness Coalition (CCHHC). Community & Human Services staff provide feedback and coordination between the two organizations on an ongoing basis.

During FY 2019-2020, Collier County anticipates several efforts to address the needs of people who are homeless or at risk of homelessness. These include improvements to Youth Haven's youth shelter and operating support for the Shelter for Abused Women and Children. Through Legal Aid and the Child Advocacy Council, the County will also provide legal services and safe exchange services for women and families impacted by domestic abuse, sexual assault, and child abuse. The County also anticipates providing funds for homelessness prevention through short term rental assistance and rapid re-housing.

Describe consultation with the Continuum(s) of Care that serves the jurisdiction's area in determining how to allocate ESG funds, develop performance standards for and evaluate outcomes of projects and activities assisted by ESG funds, and develop funding, policies and procedures for the operation and administration of HMIS

CCHHC's Executive Director was interviewed regarding homeless needs in Collier County to identify priorities for the 2016-2020 Consolidated Plan, which informs the allocation of ESG funds under this Action Plan. Additionally, reports by the CoC including the 2014 Needs & Gap Survey, the 2014 Subpopulation Needs Survey, the Point in Time Homeless Count, and *20/20 Vision: A Clear View of Housing for All Members of Collier County* were also used in prioritizing homeless needs for ESG funding.

As of May 2015, the Hunger & Homeless Coalition was named as the HMIS Lead for the County. In this role, CCHHC works with Collier County and local organizations to ensure that service usage by client is tracked over time, and that data is shared between agencies to provide a complete look at service delivery in Collier County. CHS staff participate in HMIS trainings to ensure submission of accurate and timely data. HMIS system maintenance and operating support is a need, and Collier County will allocate ESG funding under this Action Plan to provide HMIS support during the program year.

2. Describe Agencies, groups, organizations and others who participated in the process and describe the jurisdiction's consultations with housing, social service agencies and other entities

Agencies, groups, organizations who participated

1	Agency/Group/Organization	City of Marco Island
	Agency/Group/Organization Type	Other government - Local
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Non-housing community development needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Collier County invited the agency/organization to attend a public meeting and technical assistance workshop to provide input on this Plan and potential projects/activities.
2	Agency/Group/Organization	City of Naples
	Agency/Group/Organization Type	Other government - Local
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Non-housing community development needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Collier County invited the agency/organization to attend a public meeting and technical assistance workshop to provide input on this Plan and potential projects/activities.
3	Agency/Group/Organization	Everglades City
	Agency/Group/Organization Type	Other government - Local
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Non-housing community development needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Collier County invited the agency/organization to attend a public meeting and technical assistance workshop to provide input on this Plan and potential projects/activities.
4	Agency/Group/Organization	Immokalee Unmet Needs Coalition
	Agency/Group/Organization Type	Housing Services-Children Services-Health Services-Education

	What section of the Plan was addressed by Consultation?	Housing Need Assessment Anti-poverty Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Collier County invited the agency/organization to attend a public meeting and technical assistance workshop to provide input on this Plan and potential projects/activities.
5	Agency/Group/Organization	Bayshore Gateway Triangle CRA
	Agency/Group/Organization Type	Community Redevelopment Agency Neighborhood Organization
	What section of the Plan was addressed by Consultation?	Non-housing community development needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Collier County invited the agency/organization to attend a public meeting and technical assistance workshop to provide input on this Plan and potential projects/activities.
6	Agency/Group/Organization	Collier County Child Advocacy Council, Inc.
	Agency/Group/Organization Type	Services-Children Services-Victims of Domestic Violence
	What section of the Plan was addressed by Consultation?	Non-housing community development needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Collier County invited the agency/organization to attend a public meeting and technical assistance workshop to provide input on this Plan and potential projects/activities.
7	Agency/Group/Organization	Collier County Housing Authority
	Agency/Group/Organization Type	PHA
	What section of the Plan was addressed by Consultation?	Public Housing Needs Homelessness Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Collier County invited the agency/organization to attend a public meeting and technical assistance workshop to provide input on this Plan and potential projects/activities.

8	Agency/Group/Organization	Collier County Parks and Recreation
	Agency/Group/Organization Type	Other government - Local
	What section of the Plan was addressed by Consultation?	Non-housing community development needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Collier County invited the agency/organization to attend a public meeting and technical assistance workshop to provide input on this Plan and potential projects/activities.
9	Agency/Group/Organization	Habitat for Humanity of Collier County
	Agency/Group/Organization Type	Housing
	What section of the Plan was addressed by Consultation?	Affordable Housing
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Collier County invited the agency/organization to attend a public meeting and technical assistance workshop to provide input on this Plan and potential projects/activities.
10	Agency/Group/Organization	Immokalee CRA
	Agency/Group/Organization Type	Community Redevelopment Agency Neighborhood Organization
	What section of the Plan was addressed by Consultation?	Non-housing community development needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Collier County invited the agency/organization to attend a public meeting and technical assistance workshop to provide input on this Plan and potential projects/activities.
11	Agency/Group/Organization	Legal Aid Service of Collier County
	Agency/Group/Organization Type	Services-Victims of Domestic Violence
	What section of the Plan was addressed by Consultation?	Non-housing community development needs

	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Collier County invited the agency/organization to attend a public meeting and technical assistance workshop to provide input on this Plan and potential projects/activities.
12	Agency/Group/Organization	NAACP Collier County
	Agency/Group/Organization Type	Civic Organization
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Non-housing community development needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Collier County invited the agency/organization to attend a public meeting and technical assistance workshop to provide input on this Plan and potential projects/activities.
13	Agency/Group/Organization	Residential Options of Florida
	Agency/Group/Organization Type	Housing Services-Persons with Disabilities
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Non-housing community development needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Collier County invited the agency/organization to attend a public meeting and technical assistance workshop to provide input on this Plan and potential projects/activities.
14	Agency/Group/Organization	Rural Neighborhoods
	Agency/Group/Organization Type	Housing
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Non-housing community development needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Collier County invited the agency/organization to attend a public meeting and technical assistance workshop to provide input on this Plan and potential projects/activities.

15	Agency/Group/Organization	The Shelter for Abused Women and Children
	Agency/Group/Organization Type	Services-Victims of Domestic Violence Services-homeless
	What section of the Plan was addressed by Consultation?	Homeless Needs - Families with children Homelessness Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Collier County invited the agency/organization to attend a public meeting and technical assistance workshop to provide input on this Plan and potential projects/activities.
16	Agency/Group/Organization	United Cerebral Palsy of Southwest Florida
	Agency/Group/Organization Type	Services-Persons with Disabilities
	What section of the Plan was addressed by Consultation?	Non-Homeless Special Needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Collier County invited the agency/organization to attend a public meeting and technical assistance workshop to provide input on this Plan and potential projects/activities.
17	Agency/Group/Organization	Youth Haven
	Agency/Group/Organization Type	Services - Housing Services-Children Services-homeless
	What section of the Plan was addressed by Consultation?	Homelessness Needs - Unaccompanied youth Homelessness Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Collier County invited the agency/organization to attend a public meeting and technical assistance workshop to provide input on this Plan and potential projects/activities.

Identify any Agency Types not consulted and provide rationale for not consulting

Collier County invited more than 50 local and regional agencies to attend the public meeting and technical assistance workshop held on January 9, 2019 to learn more about applying for HUD grant funds.

Efforts were made to consult as broad a group of community stakeholders and interested parties as possible. Invitations requesting input on formulation of the Action Plan were extended to housing developers/providers, homeless and other service providers, community organizations, neighborhood associations, real estate agencies, City of Naples staff, and other Collier County departments. No agency types were knowingly excluded from participation.

Other local/regional/state/federal planning efforts considered when preparing the Plan

Name of Plan	Lead Organization	How do the goals of your Strategic Plan overlap with the goals of each plan?
Continuum of Care	Hunger and Homeless Coalition of Collier County	The Continuum of Care outlines strategies for homelessness prevention, rapid re-housing, emergency shelter, transitional housing, and services for youth.
Collier County Community Housing Plan, Oct 2017	Collier County Affordable Housing Committee	The Community Housing Plan identifies levels of affordable housing need for households making under 140% of Area Median Income and outlines strategies for increasing the production of housing affordable at or below this income level.
20/20 Vision: Housing for All, Dec 2011	Hunger and Homeless Coalition of Collier County	Goals for 20/20 Vision: A Clear View of Housing for All Members of Collier County that overlap with the Strategic Plan include homelessness prevention, affordable housing, rapid re-housing, emergency shelter, transitional housing and services for youth, and employment assistance services.
Collier County Housing Authority 2014-19 PHA Plan	Collier County Housing Authority	Goals described in the PHA Plan include expanding the supply of assisted housing, improving the quality of assisted housing, increasing assisted housing choices, providing an improved living environment, promoting self-sufficiency and personal development of assisted households, and affirmatively furthering fair housing.
Immokalee Walkable Community Study, Dec 2011	Collier Metropolitan Planning Organization	Findings from the Walkable Community Study indicate a need for improvements in pedestrian and bicycle facilities in Immokalee.

Other local / regional / federal planning efforts

AP-12 Participation – 91.105, 91.200(c)

1. Summary of citizen participation process/Efforts made to broaden citizen participation Summarize citizen participation process and how it impacted goal-setting

Collier County considers citizen participation a critical component of its planning processes, and followed the requirements of its Citizen Participation Plan to conduct a robust engagement process during development of this Action Plan. Collier County residents were offered multiple opportunities, as detailed in the table below, to participate in goal-setting and project selection for the Action Plan. Each meeting was publicly advertised in the *Naples Daily News* and on the County's website. All participant comments were recorded and considered.

Included in Collier County's outreach were one-on-one technical assistance meetings to be sure any organization interested in applying for HUD grant funds understood the process and expectations going forward. In the meetings, staff reviewed the application process, the County's priorities, eligible activities and projects, national objective achievements, contract development, project implementation phases of the projects, and monitoring parameters. Potential applicants were advised not only about the application process, but also about the sequence of events following the award, contract development, and project implementation.

After the technical assistance sessions, Collier County hosted a public meeting on March 1st so applicants could brief Collier County's Review and Ranking Committee on the proposed project and use of funds.

This draft Action Plan was available to the public for a 30-day comment period from May 24 through June 25, 2019, with a public hearing before the Board of County Commissioners on June 25. The public comment period and hearing were advertised in the *Naples Daily News* and on the County's website. Residents and other stakeholders were able to provide comments through e-mail, through postal mail, by telephone, or in person.

Copies of the draft Action Plan are available for public review and inspection at the following sites:

- Collier County Community & Human Services website
- Collier County Community & Human Services front desk
- Collier County public library branches, including East Naples, Golden Gate Estates, Golden Gate Regional, Immokalee, Marco Island, Naples Regional - Orange Blossom, Central, Vanderbilt, and South Regional

Dates, times, and locations for the public meeting, training sessions, public presentations by grant applicants, and public hearing are shown below.

Public Meeting & Technical Assistance

Golden Gate Community Center
4701 Golden Gate Parkway

Naples, FL 34116
Wednesday, January 9, 2019 at 3:30 PM

1:1 Technical Assistance Appointments

CHS Department Offices
3339 Tamiami Trail East
Naples, FL 34112
January 23-25, 2019

Public Presentations by Grant Applicants

CHS Department Offices
3339 Tamiami Trail East
Naples, FL 34112
Friday, March 1, 2019 from 7:30 AM – 5:30 PM

Public Hearing

County Administration Building
3299 Tamiami Trail East
Naples, FL 34112
Tuesday June 25, 2019 at 9:00 AM

Citizen Participation Outreach

Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
Internet Outreach	Non-targeted/broad community Community Development Practitioners	Not applicable	None	None	www.colliercountyfl.gov/your-government/divisions-a-e/community-and-human-services
Public Meeting and Technical Assistance	Non-targeted/broad community Residents of Public and Assisted Housing HUD Grant Applicants; Housing and Service Providers	3 Organizations attended	None	None	N/A

Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
1:1 Technical Assistance Meetings	HUD Grant Applicants; Housing and Service Providers	19 Organizations Attended	Discussion of 30 applications	None	N/A
Public presentations by Grant applicants	Non-targeted/broad community HUD Grant Applicants; Housing and Service Providers	21 Organizations attended	Presentation of 30 projects/activities	None	N/A
Public Comment Period	Non-targeted/broad community Community Development Practitioners; Housing and Service Providers	TBD	TBD	None	

Citizen Participation Outreach

Expected Resources

AP-15 Expected Resources – 91.220(c)(1,2)

Introduction

Entitlement grant resources totaling \$4,825,019 are anticipated for the FY 2019-2020 to meet underserved needs, foster decent affordable housing, develop institutional structure, and enhance coordination between public and private housing and social service agencies. Along with the County's 2019-2020 annual CDBG, HOME, and ESG allocations, this figure includes \$297,576 in prior year CDBG funds and \$932,693 in prior year HOME funds that will be reprogrammed for use this year. Additionally, this figure includes an estimated \$222,988 in CDBG program income and \$36,971 in HOME program income.

The County expects the level of HUD funding for the remainder of the Consolidated Plan to be approximately the same for the final year of the planning period (FY 2020-2021). Based on the annual allocation amounts, the County expects to receive \$3,334,791 in CDBG, HOME, and ESG funds to be available for the remainder of the Five-Year Consolidated Plan period.

Anticipated Resources

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 4				Expected Amount Available Remainder of Con Plan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
CDBG	public - federal	Acquisition Admin and Planning Economic Development Housing Public Improvements Public Services	2,500,693	222,988	297,576	3,021,257	2,500,693	The CDBG program does not require a match from non-federal sources however, the program provides a portion of the funding needed for the activities to be undertaken and will leverage resources from other sources including private, state, and local funds.

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 4				Expected Amount Available Remainder of Con Plan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
HOME	public - federal	Acquisition Homebuyer assistance Homeowner rehab Multifamily rental new construction Multifamily rental rehab New construction for ownership TBRA	633,746	36,971	932,693	1,603,410	633,746	The HOME program will leverage additional resources from private, state, and local funds in fulfillment of the program's requirement for a 25% matching contribution from non-federal sources.

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 4				Expected Amount Available Remainder of Con Plan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
ESG	public - federal	Financial Assistance Overnight shelter Rapid re-housing (rental assistance) Rental Assistance Services	200,352	0	0	200,352	200,352	The ESG program requires a 100% matching contribution that will come from subrecipients through cash or non-cash contributions.

Expected Resources – Priority Table

Explain how federal funds will leverage those additional resources (private, state and local funds), including a description of how matching requirements will be satisfied

Projects selected for funding by Collier County leverage additional community resources, including public and private agency funds. Although the CDBG program does not require a match from non-federal sources, monies dedicated to infrastructure improvements capitalize on ongoing revitalization efforts by the Bayshore and Immokalee Community Redevelopment Agencies (CRAs). Facility improvements for nonprofit organizations enhance their ability to provide services to their clients or residents. Public service funds are granted to agencies with additional public and/or private funding streams that provide a variety of services in addition to those supported by CDBG funds. For example, several non-profits receive state funds through the Department of Children and Families to support CDBG-funded programs. In recent years, subrecipients also had large private donors who made cash donations.

Both the HOME and ESG programs have matching funds requirements. The HOME program match will be provided from organizations that receive HOME funding during FY 2019-2020 in in-kind contributions and other non-federal sources to fund the operations supporting the HOME funded program. The County may also satisfy the HOME match through the State Housing Initiative Program (SHIP). The ESG program requires the County provide a 1:1 match. The County plans to fund the Shelter for Abused Women and Children and the subrecipient will provide match through payment of costs associated with shelter operations such as program staff salaries. NAMI's rapid re-housing and the HMIS activity will also provide required match.

If appropriate, describe publicly owned land or property located within the jurisdiction that may be used to address the needs identified in the plan

Florida Statutes Section 125.379, Disposition of County property for affordable housing, requires that counties create an inventory of real property with fee simple title appropriate for affordable housing. Collier County maintains the inventory of County-owned surplus land through its Real Property Division. Locally, the disposition of surplus land is governed by Resolution 2007-172 and Resolution 2010-123, which direct the County to sell the land and use the proceeds to increase financial resources set-aside for affordable housing.

The County identified two publicly owned parcels suitable for new housing construction and released an RFP for their sale and development in fall 2018. One parcel, known as Bembridge, released an Invitation to Negotiate for the development of affordable housing in spring 2019. In addition, the County Board of Commissioners recently adopted Resolution 2018-39, which encourages the co-location of housing and public facilities when the County acquires future property.

Annual Goals and Objectives

AP-20 Annual Goals and Objectives

Goals Summary Information

Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
Provide Public Services	2019	2020	Non-Housing Community Development	Countywide	Public Services	CDBG: \$375,104	Public service activities other than Low/Moderate Income Housing Benefit: 415 Persons Assisted
Improve Public Infrastructure	2019	2020	Non-Housing Community Development	IMMOKALEE-LOW INCOME CENSUS TRACT AREA BAYSHORE CRA City of Naples	Infrastructure Improvements	CDBG: \$1,276,462	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit: 28,675 Persons Assisted
Improve Public and Other Facilities	2019	2020	Non-Housing Community Development	Countywide	Public Facilities	CDBG: \$824,955	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit: 2,600 Persons Assisted
Affordable Housing for Homeownership	2019	2020	Affordable Housing	Countywide	Housing Affordability	HOME: \$886,345	Homeowner Housing Added: 11 Household Housing Unit

Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
Affordable Rental Housing	2019	2020	Affordable Housing	IMMOKALEE-LOW INCOME CENSUS TRACT AREA Countywide	Housing Affordability	HOME: \$649,993	Rental units rehabilitated: 50 Household Housing Unit Tenant-based rental assistance / Rapid Rehousing: 13 Households Assisted
Emergency Housing and Services for the Homeless	2019	2020	Homeless	Countywide	Homelessness & Homelessness Prevention	ESG: \$114,286	Homeless Person Overnight Shelter: 180 Persons Assisted
HMIS Support	2019	2020	Homeless	Countywide	Homelessness & Homelessness Prevention	ESG: \$40,000	Other: 1 Other
Rapid Re-Housing and Homelessness Prevention	2019	2020	Homeless	Countywide	Homelessness & Homelessness Prevention	ESG: \$31,040	Tenant-based rental assistance / Rapid Rehousing: 3 Households Assisted
Program Administration	2019	2020	Non-Housing Community Development	Countywide	Program Administration and Planning	CDBG: \$544,736 HOME: \$67,072 ESG: \$15,026	Other: 1 Other

Goals Summary

Estimate the number of extremely low-income, low-income, and moderate-income families to whom the jurisdiction will provide affordable housing as defined by HOME 91.215(b):

The table identifies the County's key goals for the 2019-2020 Annual Action Plan period, along with needs addressed, estimated funding levels, and estimated goal outcome indicators. Please note that funding levels and goal outcome indicators are estimates for planning purposes. Recommended activities are eventually finalized in a legal agreement approved by the Board of County Commissioners. The agreement is considered to contain the final activity description including funding levels, scope elements, deliverables, and beneficiaries and supersedes any prior information such as the application or this Action Plan. Further, subrecipient award amounts may not match those in the applications submitted to the County, as not all projects were funded at requested levels. Should the County seek to make any substantial changes to goals or related funding levels, it will amend the Annual Action Plan and provide the public with the opportunity to comment on the proposed amendment, as described and defined in its Citizen Participation Plan. Also note that payments to subrecipients or partners for individual projects and/or activities are not contingent on meeting overall annual outcome indicators in this plan, because this plan contains estimates only.

HOME funds will be used to provide 13 households with Tenant-Based Rental Assistance, rehabilitate 50 units of affordable rental housing owned by CCHA, and to assist 11 households access affordable homeownership housing.

Projects

AP-35 Projects – 91.220(d)

Introduction

For FY 2019-2020, Collier County will fund a total of 8 projects under the CDBG, HOME, and ESG programs, identified below with additional details provided in Section AP-38. Please note that funding levels and goal outcome indicators are estimates for planning purposes. Payments to subrecipients for individual projects and/or activities are not contingent on meeting annual outcome indicators shown below. Subrecipient award amounts may not match those in the applications submitted to the County, as not all projects were funded at requested levels. Recommended activities are eventually finalized in a legal agreement approved by the Board of County Commissioners and the subrecipients. The agreement is considered to contain the final activity description including funding levels, scope elements, deliverables and beneficiaries and supersedes any prior information such as the application or this action plan.

CDBG projects include public services, public facility, and public infrastructure improvements. Under the HOME program, the County will fund construction, acquisition, and/or rehabilitation of affordable housing and a tenant-based rental assistance program. ESG activities include emergency shelter operations support, rapid rehousing, HMIS system support, and administration. The administrative costs of the CDBG, HOME, and ESG projects are also included as projects and represent 20%, 10%, and 7.5% of annual allocations, respectively.

In the event that any of the activities identified in the project list and descriptions do not perform as anticipated and grant funds are returned, or in the event that additional funds become available, the County reserves the right to reprogram those funds for use in a Down Payment and Closing Cost Assistance program for low- and moderate-income households.

On October 13, 2017, HUD provided notice regarding the availability of waivers of several Community Planning Grant Program and Consolidated Plan Requirements for grantees in disaster-declared areas as a result of Hurricane Irma. On January 19, 2018, Collier County notified HUD of its intent to use these waiver flexibilities beginning on or after January 23, 2018. Among the waivers claimed by Collier County is flexibility to suspend CHDO set-aside requirements. Thus, no CHDO set-aside is included as a HOME project or goal for FY 2019-2020.

Projects

#	Project Name
1	Public Infrastructure Improvements
2	Public Services
3	Facility Improvements
4	CDBG Administration

#	Project Name
5	Construction, Acquisition, and/or Rehabilitation of Affordable Housing
6	Tenant-Based Rental Assistance
7	HOME Administration
8	ESG19 Collier

Project Information

Describe the reasons for allocation priorities and any obstacles to addressing underserved needs

Allocation priorities for the FY 2019-2020 Action Plan are consistent with those outlined in the Strategic Plan portion of the County’s 2016-2020 Consolidated Plan. The priorities were identified through a review of market conditions as well as a needs assessment that analyzed affordable housing, special need housing, homeless, and non-housing community development needs in Collier County. Substantial participation by residents, local and regional organizations, and other stakeholders also informed these priorities.

The Consolidated Plan and the Needs Assessment conducted as part of the Consolidated Planning process identified affordable for-sale and rental housing as a top need in Collier County. Housing affordability was the most common issue identified by interviewees and community meeting participants throughout the county, including in Naples and Immokalee. Further, according to Comprehensive Housing Affordability Strategy (CHAS) data, about 40% of all households in the county spend more than 30% of their income on housing. For low- and moderate-income households, about 75% of renters and 65% of owners spend over 30% of income on housing.

The County made its funding decisions for this Action Plan based on need, geographic priorities, and opportunities to work with subrecipients and other partners that contribute additional resources to leverage federal funds. The main obstacle to addressing underserved needs is the shortage of financial resources to address Collier County’s priorities.

AP-38 Project Summary

Project Summary Information

1	Project Name	Public Infrastructure Improvements
	Target Area	IMMOKALEE-LOW INCOME CENSUS TRACT AREA BAYSHORE CRA City of Naples
	Goals Supported	Improve Public Infrastructure
	Needs Addressed	Infrastructure Improvements
	Funding	CDBG: \$1,276,462
	Description	Provide funding to construct or improve public infrastructure such as sidewalks, fire suppression systems, and stormwater improvements.
	Target Date	9/30/2020
	Estimate the number and type of families that will benefit from the proposed activities	28,675 low- and moderate-income persons in four distinct neighborhoods
Location Description	Immokalee (Census tracts 112.02, 112.04, 112.05, 113.01, and 114) Bayshore CRA (Census tract 107.01) City of Naples (Census tract 7) Naples Manor Area (Census tract 108.02)	

	Planned Activities	<p>Activities are anticipated to include:</p> <p>(1) Immokalee CRA Sidewalk Improvements (\$476,365) - complete the installation of sidewalks on Carver and 5th Streets.</p> <p>(2) City of Naples/River Park 12th Street Sidewalk Connection (\$108,450) - design and construction of a sidewalk connecting an existing sidewalk to newly constructed public space.</p> <p>(3) Bayshore/Gateway CRA Fire Suppression Phase 3 (\$300,000) - continue the installation and upgrade of existing fire control infrastructure</p> <p>(4) BCC Stormwater Management/Naples Manor Stormwater Improvements Phase 1 (\$80,000) - engineering study to identify design alternatives to improve stormwater management.</p> <p>(5) Immokalee Fire Control District/WCYAOT (\$311,646.57) - design and engineering for construction of new/replacement fire station</p>
2	Project Name	Public Services
	Target Area	Countywide
	Goals Supported	Provide Public Services
	Needs Addressed	Public Services
	Funding	CDBG: \$375,104
	Description	Provide subrecipient grants for provision of public services to low- and moderate-income residents, youth, people with developmental disabilities, and victims of domestic violence.
	Target Date	9/30/2020
	Estimate the number and type of families that will benefit from the proposed activities	425 low- and moderate-income persons
	Location Description	Various locations in Collier County

	Planned Activities	<p>Activities are anticipated to include:</p> <p>(1) Legal Aid Services to Victims (\$180,104) - legal aid services for 150 persons including abused children, victims of domestic violence, and other limited clientele persons.</p> <p>(2) United Cerebral Palsy 'Free to be me' (\$75,000) - provision of supervised transportation services to 50 special needs persons with developmental and physical disabilities.</p> <p>(3) Collier County Child Advocacy Council Family Safety Program (\$75,000) - provision of supervised visitation and safe exchange services for 125 persons.</p> <p>(4) Boys & Girls Club Immokalee & Naples Transportation (\$45,000) - provision of bus and van transportation to and from school, facility, and home for 100 students.</p>
3	Project Name	Facility Improvements
	Target Area	Countywide
	Goals Supported	Improve Public and Other Facilities
	Needs Addressed	Public Facilities
	Funding	CDBG: \$824,955
	Description	Provide funding to renovate public facilities that serve low- and moderate-income persons, homeless youth, victims of violence, persons with mental health and/or substance abuse needs, and other special needs populations.
	Target Date	9/30/2020
	Estimate the number and type of families that will benefit from the proposed activities	2,600 low- and moderate-income persons
	Location Description	<p>Youth Haven- 5867 Whitaker Rd, Naples, FL 34112</p> <p>Legal Aid Service of Collier County - 4436 Tamiami Trail East, Naples, FL 34112</p> <p>Golden Gate Senior Center - 4898 Coronado Parkway, Naples, FL 34116 (Census tract 104.20)</p>

	Planned Activities	<p>Activities are anticipated to include:</p> <p>(1) Youth Haven Disaster Mitigation (\$35,531) - rehabilitation of homeless shelter infrastructure and disaster mitigation improvements.</p> <p>(2) The Shelter for Abused Women & Children Restroom Rehabilitation (\$182,217) - improvements to domestic violence shelter facility through renovations of seven bathrooms.</p> <p>(3) Youth Haven Odorzzi Cottage Rehabilitation (\$317,207) - design, engineering, and construction to expand and renovate a homeless youth shelter cottage.</p> <p>(4) Legal Aid Main Office Rehabilitation (\$200,000) - improve an existing office building with roof retrofit, security gate, and parking improvements.</p> <p>(5) Golden Gate Senior Center Renovations (\$90,000) - renovation of the facility and updates to the fire suppression system.</p>
4	Project Name	CDBG Administration
	Target Area	Countywide
	Goals Supported	Program Administration
	Needs Addressed	Affirmatively Further Fair Housing Choice Program Administration and Planning
	Funding	CDBG: \$544,736
	Description	The management and oversight of the CDBG Program by the Collier County Division of Community and Human Services. Fair housing activities. All allowable and eligible administrative activities.
	Target Date	9/30/2020
	Estimate the number and type of families that will benefit from the proposed activities	Not Applicable
	Location Description	Countywide
	Planned Activities	CDBG Program Administration
5	Project Name	Construction, Acquisition, and/or Rehabilitation of Affordable Housing
	Target Area	Countywide

	Goals Supported	Affordable Housing for Homeownership Affordable Rental Housing
	Needs Addressed	Housing Affordability
	Funding	HOME: \$1,386,345
	Description	Construction, acquisition and/or rehabilitation of affordable rental and for-sale housing for low- and moderate-income households.
	Target Date	9/30/2020
	Estimate the number and type of families that will benefit from the proposed activities	61 low- and moderate-income households
	Location Description	Countywide
	Planned Activities	Activities are anticipated to include: (1) Collier County Housing Authority HVAC Installation (\$500,000) - install HVAC to improve housing sustainability and efficiency in 50 existing homes at Farmworker Village Phase 10. (2) Habitat for Humanity Vincent Acres (\$886,345) - construction of 11 new single-family homes for sale to eligible households.
6	Project Name	Tenant-Based Rental Assistance
	Target Area	Countywide
	Goals Supported	Affordable Rental Housing
	Needs Addressed	Housing Affordability
	Funding	HOME: \$149,993
	Description	Provide tenant-based rental assistance for low- and moderate-income rental households
	Target Date	9/30/2020
	Estimate the number and type of families that will benefit from the proposed activities	13 low- and moderate-income households
	Location Description	Countywide

	Planned Activities	Activities are anticipated to include: (1) Collier County Housing Authority TBRA (\$149,993) - provide tenant-based rental assistance for 13 low- and moderate-income rental households.
7	Project Name	HOME Administration
	Target Area	Countywide
	Goals Supported	Program Administration
	Needs Addressed	Program Administration and Planning
	Funding	HOME: \$67,072
	Description	Management and oversight of the HOME Program by the Collier County Community and Human Services Division. All eligible and allowable admin activities.
	Target Date	9/30/2020
	Estimate the number and type of families that will benefit from the proposed activities	Not Applicable
	Location Description	Countywide
	Planned Activities	HOME Program Administration
8	Project Name	ESG19 Collier
	Target Area	Countywide
	Goals Supported	Emergency Housing and Services for the Homeless HMIS Support Rapid Re-Housing and Homelessness Prevention Program Administration
	Needs Addressed	Homelessness & Homelessness Prevention Program Administration and Planning
	Funding	ESG: \$200,352
	Description	Support shelter operations and services for victims of domestic violence. Support HMIS. Provide rapid re-housing for homeless households or those at risk of homelessness, including medium -term rental assistance, security and utility deposits, and rental application fees. All allowable/eligible activities for admin.

Target Date	9/30/2020
Estimate the number and type of families that will benefit from the proposed activities	Homeless person overnight shelter - 180 persons Homeless prevention - 3 households HMIS - Other: 1 organization assisted
Location Description	Countywide
Planned Activities	<p>Activities that are anticipated include:</p> <p>(1) The Shelter for Abused Women & Children (\$114,286) - operating costs (utilities and salaries) to support a homeless shelter serving 180 persons.</p> <p>(2) National Alliance of Mental Illness Rapid Re-housing (\$31,040) - rapid re-housing for 3 homeless persons with mental illness.</p> <p>(3) Hunger & Homeless Coalition HMIS Software Project (\$40,000) - support Homeless Management Information System.</p> <p>(4) Program Administration (\$15,026) - Management and oversight of the ESG Program by the Collier County Community and Human Services Division.</p>

AP-50 Geographic Distribution – 91.220(f)

Description of the geographic areas of the entitlement (including areas of low-income and minority concentration) where assistance will be directed

Collier County and the City of Naples participate together in the Urban County CDBG Program. Marco Island and Everglades City, both incorporated cities within the County have opted out of participation for FY 2019-2020 and the three-year urban county qualification. Over the next program year, the County anticipates spending 31% of total HUD allocation (CDBG, HOME, and ESG) in Immokalee, 7% of its funds in the Bayshore CRA district, 3% of its funds in the City of Naples, and the majority of funds will be spent countywide (59%), although this may include services and facility improvements in Naples or Immokalee that do not exclusively serve households in those geographies.

A brief description of the activities in each target area is included below:

- Immokalee – CDBG funds will be used to construct or improve sidewalks as well as design and engineer a new fire station.
- Bayshore CRA – CDBG funds will be used to install new and/or make upgrades to existing fire control infrastructure.
- City of Naples – CDBG funds will be used to design and install a new sidewalk on 12th Street to increase connectivity and pedestrian mobility.
- Countywide – CDBG public services funds will be used to serve victims of domestic or child abuse with legal services and supervised visitation/safe exchange and to provide transportation for developmentally disabled residents. CDBG funds will also be used for public facility improvements at a homeless shelter for unaccompanied youth, a shelter for abused women and children, a senior center, and for rehabilitation of the Legal Services of Collier County's Main Office. CDBG funds will also be used for an engineering report to identify design alternatives to improve stormwater management in the Naples Manor area.

Geographic Distribution

Target Area	Percentage of Funds
IMMOKALEE-LOW INCOME CENSUS TRACT AREA	31
BAYSHORE CRA	7
Countywide	59
City of Naples	3

Geographic Distribution

Rationale for the priorities for allocating investments geographically

Collier County targets resources in low-moderate income census block groups to meet regulatory requirements for CDBG grant funds and to target areas with the highest level of needs. Maps provided in

the Appendix show Collier County block groups where 51% or more of the population have incomes at or below 80% of the area median income. These areas are considered “target areas” for use of HUD grant funds for area improvements, such as public infrastructure or facility improvements. HUD funds may also be spent outside of these targeted areas, as long as they provide services, improvements, affordable housing, or other benefits for low- and moderate-income households or special needs populations.

Discussion

The 2017 Tax Cut and Jobs Act established the Opportunity Zone program. Opportunity zones are low-income census tracts nominated by the Governor of the state to spur private investment for economic development and job creation in the designated tracts. Collier County has five census tracts that are certified by the State as Opportunity Zones (census tracts 104.11, 108.02, 112.05, 113.01, and 114). HUD encouraged grantees to consider using their CDBG, HOME, and ESG funds for eligible activities in Opportunity Zones in CPD-19-01: Guidance on Submitting Consolidated Plans and Annual Action Plans. The County's Opportunity Zones overlap with census tracts within two of the CDBG target areas. For FY 2019-2020, the County anticipates funding five projects in these census tract target areas. As the Opportunity Zone program regulations are finalized, the County will explore how the CPD funds can be used to leverage Opportunity Zone funding to these areas.

Affordable Housing

AP-55 Affordable Housing – 91.220(g)

Introduction

The need for affordable rental and homeownership housing was a common theme heard during the Consolidated Plan development process. The greatest need is among renters with very low incomes, large families, immigrant families, and residents with disabilities. Housing for people who are homeless and homelessness prevention was also identified as a priority need.

To address these needs, the County will use HOME funds to support the development of new affordable units, provide rental assistance, and rehabilitate existing rental housing. The County will also use ESG funds to continue to offer its rapid re-housing program for homeless families.

Goals for the number of households to be supported in 2019-2020 are provided below. Please note these are goal estimates. Payments to subrecipients for individual projects and/or activities are not contingent on meeting annual outcome indicators shown below.

One Year Goals for the Number of Households to be Supported	
Homeless	3
Non-Homeless	74
Special-Needs	0
Total	77

One Year Goals for Affordable Housing by Support Requirement

One Year Goals for the Number of Households Supported Through	
Rental Assistance	16
The Production of New Units	11
Rehab of Existing Units	50
Acquisition of Existing Units	0
Total	77

One Year Goals for Affordable Housing by Support Type

Discussion

During FY 2019-2020, the County anticipates supporting a total of 77 households, including 61 through construction, acquisition and/or rehabilitation of affordable housing using HOME funds; 13 through Tenant-Based Rental Assistance; and 3 homeless persons through the rapid re-housing program.

Though the County does not directly fund affordable housing for special needs population using CDBG and HOME, it is anticipated that the funds expended through the County's housing programs will benefit

persons with special needs because there is often an overlap between the population needing affordable housing and those who are special needs.

In 2018, Collier County provided SHIP funds to address special needs housing for developmentally disabled persons through a statewide nonprofit, Residential Options of Florida (ROOF). Additional SHIP funds will be provided to ROOF in 2019 for special needs housing.

AP-60 Public Housing – 91.220(h)

Introduction

The Collier County Housing Authority (CCHA) is an independent authority established under state law and is separate from the general control of the County. The mission of the CCHA is to offer a choice of decent, safe, and diverse affordable housing, with opportunity for low-income households to achieve economic independence in living environments free from discrimination. The CCHA owns and manages public housing developments, including low-income and farm labor housing at Farm Worker Village, farm labor housing at Collier Village, a 192-bed dormitory called Horizon Village, and some scattered site housing.

Farm Worker Village is a multi-family low-income and farm labor housing development in Immokalee built under the USDA 514/516 Program. The development includes 176 total acres with 591 housing units, ranging from single-family homes to duplexes and four-plexes. Farm Worker Village has a main office, convenience store, laundromat, community center, playground, and two daycare centers.

Horizon Village offers 192 beds for unaccompanied migrants and seasonal farmworkers in dormitory-style efficiency units, each with space for 8 people, a common kitchen area with appliances, and two bathrooms. A meeting room is available to provide social and educational programs.

The Housing Authority also administers approximately 450 Section 8 Housing Choice Vouchers. Unlike most public housing authorities, CCHA has no HUD-funded public housing units. Their primary funding is from USDA.

Actions planned during the next year to address the needs to public housing

CCHA has identified six goals to carry out annually that will optimize services for its residents and voucher holders, including:

- Expanding the supply of assisted housing;
- Improving the quality of assisted housing;
- Increasing assisted housing choices;
- Providing an improved living environment;
- Promoting self-sufficiency and personal development of assisted households; and
- Ensuring equal opportunity and affirmatively furthering fair housing.

The CCHA administers Collier County's Tenant Based Rental Assistance (TBRA) program. In FY 2019-2020, the TBRA program will have approximately \$150,000 for rental assistance.

CCHA will also continue to manage its farm-worker housing and Housing Choice Vouchers over FY 2019-2020. Rents associated with the federal developments are in accordance with HUD regulations and are set at 30% of a household's total income. Income levels are monitored through yearly verification. Eligible

applicants are those whose income is within the limits as determined by HUD.

In 2018, CCHA purchased two affordable housing units in Golden Gate using State Housing Initiative Partnerships (SHIP) funding which it will continue to rent to income qualified households.

Additionally, the CCHA will employ the following strategies to address the housing needs of Collier County families:

- Assist as many low-income individuals and households as possible with the federal resources that have been awarded to CCHA.
- Increase the number of affordable housing units by applying for additional Section 8 vouchers should they become available.
- Leverage affordable housing resources in the community through the creation of mixed-financed housing.
- Pursue housing resources other than Section 8 tenant-based assistance.
- Target available assistance to families at or below 30% of AMI, homeless, and the elderly by continuing to do outreach to community partners that serve the low-income, homeless, and the elderly.
- Maintain or increase Section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration.
- Participate in County plan development processes to ensure coordination with broader community strategies.

Actions to encourage public housing residents to become more involved in management and participate in homeownership

In addition to operating farmworker housing and the Housing Choice Voucher Program, CCHA also offers a Family Self-Sufficiency (FSS) program to promote economic opportunity for HUD-assisted families. Through the FSS program, case managers work with families to identify employment goals and access support services to achieve these goals. As a family's income rises, CCHA deposits the increased rent charges that the family pays in an escrow account. The escrow account may then be used by the family for college or vocational education expenses, work-related expenses, or toward homeownership as they graduate from the program.

The CCHA has a Section 8 Advisory Board. Interested voucher holders are encouraged to join, which gives them a formal opportunity to offer input on the voucher program to CCHA. The Board reviews CCHA's PHA plans and the agency's progress toward its goals.

If the PHA is designated as troubled, describe the manner in which financial assistance will be

provided or other assistance

The Collier County Housing Authority is not designated as troubled.

AP-65 Homeless and Other Special Needs Activities – 91.220(i)

Introduction

In FY 2019-2020, Collier County will use ESG funding to support operation of a homeless domestic violence shelter, medium-term rapid rehousing program for homeless or at-risk people, and ongoing HMIS operation.

Describe the jurisdictions one-year goals and actions for reducing and ending homelessness including

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

Collier County participates in the Hunger & Homeless Coalition's annual Point-in-Time Count. In addition, Collier County conducts outreach to homeless persons through NAMI's rapid re-housing program, through homeless shelter providers such as the Shelter for Abused Women and Children and Youth Haven, and through other partners providing public services for homeless persons or persons at risk of homelessness. The County anticipates that it will serve 180 homeless women and children through the Shelter using \$114,286 in ESG funds. The County will also allocate funds to the Hunger and Homeless Coalition for HMIS activities, which will ultimately help the CoC better assess the needs of homeless persons in Collier County through efficient data collection.

Addressing the emergency shelter and transitional housing needs of homeless persons

Through funding to the Shelter for Abused Women and Children, Collier County will provide emergency and transitional housing, along with supportive services, for victims of domestic violence. With anticipated funding of \$114,286, the Shelter estimates it will serve 180 homeless women and children in FY 2019-2020.

In addition to providing funds for shelter operations, the County will also make a grant to Youth Haven for renovations at one of its cottages. Youth Haven provides emergency housing and supportive services to homeless youth. Improvements to the cottage will extend the life of the building, expand the shelter's capacity, and provide enhanced safety for the children living there.

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were

recently homeless from becoming homeless again

Over the coming year, Collier County will continue to provide funds to NAMI to operate a rapid re-housing program and homelessness prevention program. These programs are designed to shorten the period of time that homeless families or individuals experience homelessness. The rapid re-housing program funds up to a year of rental assistance, rental security deposits and last month's rent, and/or costs such as rental application fees and utilities.

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); or, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs.

To help families and individuals avoid becoming homeless, Collier County will continue to operate its rental assistance program in FY 2019-2020. This program seeks to prevent homelessness by providing up to a year of rental assistance, rental security deposits and last month's rent, and/or costs such as rental application fees and utilities.

Additionally, through funding from the State of Florida Department of Children and Families, Collier County will continue its Forensic Intensive Reintegration Support Team (FIRST), which provides persons with substance abuse or mental health issues leaving the judicial system with assistance to prevent homelessness or re-incarceration.

The County will also support low- and moderate-income families avoid becoming homeless by bolstering its supply of income-restricted affordable housing. Through real property acquisition funded by CDBG, SHIP, or other sources, the County will assist CCHA, ROOF, or other nonprofits to acquire rental housing units.

The County is also actively working to identify other ways to increase the supply of affordable housing through its Affordable Housing Advisory Committee. The Advisory Committee worked with an Urban Land Institute (ULI) panel to develop the *Collier County Community Housing Plan* in 2017. The *Housing Plan* outlines strategies for expanding the supply of affordable housing under six core recommendations, including density and certainty, sustainable funding sources, community land trusts and public land, transportation enhancements, and communication and outreach. The *Housing Plan* is discussed in more detail in the next section.

AP-75 Barriers to affordable housing – 91.220(j)

Introduction:

In 2016, Collier County's Affordable Housing Advisory Committee (AHAC) and the Board of County Commissioners (BCC) recognized the need for a comprehensive plan to identify housing needs in the county and outline specific strategies to address these needs. Over an 18-month process, AHAC worked with a BCC-appointed Community Housing Stakeholders Group and the Urban Land Institute (ULI) to develop the *Collier County Community Housing Plan* that was finalized in October 2017. The Plan includes an analysis of current housing conditions, a housing vision statement, definitions designed to establish a mutual understanding of affordable housing terminology, housing demand estimates based on projected population growth, and recommendations for addressing Collier County's housing affordability crisis.

Actions it planned to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment

The Community Housing Stakeholders Group formed subcommittees to identify tools and methods to address housing affordability under five overarching strategies. Based on the Stakeholders' research, they developed recommendations for the Board of County Commissioners. These recommendations went before the BCC throughout 2018. Some recommendations have received approval while others will continue to be brought forth throughout 2019. The recommended tools are listed below by strategy area, with an indication of those that have already been approved by the BCC:

Density and Certainty

- Modify the existing Affordable Housing Density Bonus program (approved by the BCC)
- Expedite the permitting and approval process (approved by the BCC)
- Adjust Collier County's Impact Fee Deferral Program (approved by the BCC)
- Identify strategic opportunity sites for higher densities (In process)
- Implement a Mixed Income Housing Ordinance (In process)
- Establish or increase administrative approvals (In process)
- Explore potential relief from some development regulations (In process)

Stable Funding Sources

- Reinstate the Housing Trust Fund with sustainable and predictable revenue sources (approved by

the BCC)

Community Land Trust & Public Lands

- Establish a Community Land Trust to manage a proposed Mixed Income Housing Program (BCC has approved the Community Land Trust) (In process)
- Encourage contribution of suitable surplus land by Collier County to the Land Trust (approved by the BCC)
- Encourage similar initiatives or contributions in Naples and Marco Island
- Develop RFPs for construction of affordable housing on suitable publicly owned land (In process)

Transportation Enhancements

- Integrate bus routes with affordable housing locations
- Enhance bike lanes and pedestrian systems
- Enhance mobility through rideshare options
- Establish a revenue source for transit and alternative mobility

Communication & Outreach

- Create an online, up-to-date inventory of available affordable housing units (Implemented)
- Create a housing-focused website (In process)
- Develop a marketing, public relations, and communications plan to educate the community about housing affordability (In process)
- Consider solutions that don't involve construction

AP-85 Other Actions – 91.220(k)

Introduction:

This section details the County's actions planned to ensure safe and affordable housing for its residents, along with plans to meet underserved needs, reduce poverty, develop institutional structure, and enhance coordination between public and private sector housing and community development agencies.

Actions planned to address obstacles to meeting underserved needs

To help remove obstacles to meeting underserved needs and improve service delivery, Collier County will work with local non-profits and other subrecipients to coordinate the work of social service organizations, disseminate news and information, and spearhead community-wide solutions to local needs. Specifically, the County will work on projects to construct and improve public infrastructure and facilities, including sidewalks, parks, fire suppression system, and emergency shelters; provide public services such as transportation for special needs populations, legal assistance, and family safety programs; support development of new affordable housing; and address homeless needs through shelter operations support and rapid re-housing activities.

The County is continually looking for additional funding to address underserved needs, and has procured SHIP and Criminal Justice, Mental Health, and Substance Abuse grants from the State and Drug Court funding from the Department of Justice. Over the next year, CHS staff will continue exploring new grant opportunities using grants.gov and other sources and develop partnerships with local agencies as needed to make grant applications.

Actions planned to foster and maintain affordable housing

During the 2019-2020 program year, Collier County will foster the development of new affordable housing by providing HOME funds to Habitat for Humanity Collier County for the development of at least 11 affordable for-sale housing units. HOME funds will also be provided to the Collier County Housing Authority to install A/C units to improve the quality of existing rentals.

The County is also working through its Affordable Housing Advisory Committee to implement strategies and recommendations for expanding the supply of affordable housing as outlined in the *Community Housing Plan* accepted in October 2017.

Actions planned to reduce lead-based paint hazards

The County's health department is the lead agency responsible for conducting lead screenings and identifying properties in need of rehabilitation to reduce exposure to lead-based paint. The County will continue to comply with Federal regulations related to lead-based paint, including in CCHA units. Given that much of Collier County's housing stock was built between the 1980s and 2000s, there are very few

properties with lead-based paint. The County plans to participate in HUD training on lead-based paint.

Actions planned to reduce the number of poverty-level families

The County is proud of its work to eliminate cost burdens and target resources in an effective and coordinated manner. The County has two designated CRA areas and will continue to focus funds for infrastructure improvements in these areas over the next year. By doing so, the County will leverage complementary initiatives aimed at business development, public infrastructure and community programming initiatives, and other quality of life features that connect families living in poverty with services and economic opportunities.

Actions planned to develop institutional structure

Collier County's Affordable Housing Advisory Committee (AHAC) represents the most substantial partnership designed to develop institutional structure to address affordable housing challenges. AHAC is composed of representatives from the residential home building, banking/ mortgage lending, labor, low-income advocacy, social service, real estate, employer, and local planning industries. These representatives serve as an informed body of experts with unique perspectives on the local landscape of affordable housing needs and market dynamics in Collier County.

Last year, AHAC was involved in development of the *Community Housing Plan* based on affordable housing strategies and recommendations provided by a ULI panel. The Plan was presented to the Board of County Commissioners in October 2017. In the upcoming year, the AHAC will continue to strengthen its leadership role in guiding affordable housing decisions in the community.

Actions planned to enhance coordination between public and private housing and social service agencies

The County will work to foster collaboration through dissemination of services, news, and information across social service organizations, subcontractors, and public and private entities. One way the County will accomplish this is through subrecipient meetings that bring together affordable housing developers and social service agencies. Additionally, implementation of the countywide *Community Housing Plan* will require input from and coordination of both housing providers and social service agencies. The County will encourage community-based solutions and regional partnerships and will continue to support and participate in the CoC, whose membership includes both affordable housing and social service agencies.

Program Specific Requirements

AP-90 Program Specific Requirements – 91.220(I)(1,2,4)

Introduction:

In AP-90, Collier County provides information required by regulations governing the Community Development Block Grant (CDBG) program, the HOME Investment Partnership (HOME) program, and the Emergency Solutions Grant (ESG) program.

Community Development Block Grant Program (CDBG)

Reference 24 CFR 91.220(I)(1)

Projects planned with all CDBG funds expected to be available during the year are identified in the Projects Table. The following identifies program income that is available for use that is included in projects to be carried out.

1. The total amount of program income that will have been received before the start of the next program year and that has not yet been reprogrammed	\$222,988
2. The amount of proceeds from section 108 loan guarantees that will be used during the year to address the priority needs and specific objectives identified in the grantee's strategic plan.	0
3. The amount of surplus funds from urban renewal settlements	0
4. The amount of any grant funds returned to the line of credit for which the planned use has not been included in a prior statement or plan	0
5. The amount of income from float-funded activities	0
Total Program Income:	\$222,988

Other CDBG Requirements

1. The amount of urgent need activities	0
2. The estimated percentage of CDBG funds that will be used for activities that benefit persons of low and moderate income. Overall Benefit - A consecutive period of one, two or three years may be used to determine that a minimum overall benefit of 70% of CDBG funds is used to benefit persons of low and moderate income. Specify the years covered that include this Annual Action Plan.	100.00%

HOME Investment Partnership Program (HOME)

Reference 24 CFR 91.220(I)(2)

1. A description of other forms of investment being used beyond those identified in Section 92.205 is

as follows:

Not applicable. Collier County does not anticipate using any other forms of investment beyond those identified in Section 92.205.

2. A description of the guidelines that will be used for resale or recapture of HOME funds when used for homebuyer activities as required in 92.254, is as follows:

See attached description.

3. A description of the guidelines for resale or recapture that ensures the affordability of units acquired with HOME funds? See 24 CFR 92.254(a)(4) are as follows:

Collier County complies with the HUD affordability terms in 24 CFR 92.254 (a)(4) as follows:

HOME funds utilized for housing have the following terms of affordability:

Under \$15,000	5 years
\$15,000-\$40,000	10 years
Over \$40,000	15 years

4. Plans for using HOME funds to refinance existing debt secured by multifamily housing that is rehabilitated with HOME funds along with a description of the refinancing guidelines required that will be used under 24 CFR 92.206(b), are as follows:

Not applicable. Collier County has no plans to use HOME funds to refinance existing debt secured by multifamily housing rehabilitated with HOME funds.

Emergency Solutions Grant (ESG) Reference 91.220(l)(4)

1. Include written standards for providing ESG assistance (may include as attachment)

Collier County's ESG program provides funding assistance for:

- Engaging homeless individuals and families living on the streets
- Improving the number and quality of emergency shelters/beds for homeless individuals and families
- Financially assistance with shelter operations
- Provides essential services to shelter residents
- Rapidly re-house homeless individuals and families
- Prevents families and individuals from becoming homeless

The ESG beneficiaries must meet certain eligibility criteria of the program components that they are participating in. ESG offers five program components including street outreach, emergency shelter, homeless prevention, rapid-rehousing and HMIS.

2. If the Continuum of Care has established centralized or coordinated assessment system that meets HUD requirements, describe that centralized or coordinated assessment system.

The Hunger & Homeless Coalition of Collier County serves as the Lead Agency and has been designated by the CoC as the Collaborative Applicant for the HUD CoC grant on behalf of Collier County's Continuum of Care. As the Lead Agency, the Hunger & Homeless Coalition also has operational and planning duties with respect to the CoC. The Hunger & Homeless Coalition is developing a coordinated assessment system, in which Collier County will participate.

The CoC will establish and operate a coordinated assessment system that provides an initial, comprehensive assessment of the needs of individuals and families for housing and services. The coordinated assessment system will: (1) cover the geographic area of Collier County; (2) be easily accessed by individuals and families seeking housing or services; (3) be well-publicized; and (4) include a comprehensive and standardized tool providing an initial assessment of housing and service needs. In addition, the CoC's coordinated assessment system will incorporate the written standard for providing CoC assistance when evaluating and referring potential program participants. Individuals and families who are fleeing, or attempting to flee, domestic violence, dating violence, sexual assault, or stalking who are seeking shelter or services from non-victim services providers will be directed to The Shelter for Abused Women and Children for assessment of housing and service needs.

In the meantime, the HMIS is used widely amongst appropriate agencies.

3. Identify the process for making sub-awards and describe how the ESG allocation available to private nonprofit organizations (including community and faith-based organizations).

The County provides a competitive process for awarding all entitlement funding. This is widely advertised formally, via email, and at partnership meetings. A review and ranking committee reviews applications for merit and compliance. The CoC is provided an opportunity to support or not support an application. Eventually, the recommended awardees are noted in the Annual Action Plan. All public comment requirements are followed. The Annual Action Plan is presented to the Board of County Commissioners for approval, and then to HUD.

4. If the jurisdiction is unable to meet the homeless participation requirement in 24 CFR 576.405(a), the jurisdiction must specify its plan for reaching out to and consulting with homeless or formerly homeless individuals in considering policies and funding decisions regarding facilities and services funded under ESG.

Collier County meets the homeless participation requirement found in 24 CFR 576.405(a) through the

Continuum of Care, which is led by the Collier County Hunger and Homeless Coalition. The CoC comments on funding applications and considers policies regarding homeless facilities and services. Other organizations representing the homeless provided input for the Consolidated Planning process, and a focus group was held at the Shelter for Abused Women and Children to gather input about housing and community development priorities. The County will continue to secure consultation with homeless or formerly homeless individuals, along with local organizations that represent and provide services to the homeless.

5. Describe performance standards for evaluating ESG.

Collier County uses its project outcome performance report and progress reports to evaluate ESG. The program outcome performance report is due quarterly. Reports collect accomplishment data and beneficiary data so Collier County can track subrecipient performance. Data from participants served is entered into HMIS.

The Shelter for Abused Women and Children uses WS Osnum as its domestic violence reporting system. This system is mandated by the Shelter's certifying body, the Florida Coalition Against Domestic Violence. The reports from WS Osnum are uploaded into SAGE, HUD's reporting portal.

Attachments

Grantee Unique Appendices

- **SF-424 and Certifications**
- **Affidavit of Publication**
- **Collier County Low-Mod Block Group Map**
- **HOME Recapture and Resale Provisions**

Application for Federal Assistance SF-424

* 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application	* 2. Type of Application: <input type="checkbox"/> New <input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Revision	* If Revision, select appropriate letter(s): <input type="text"/> * Other (Specify): <input type="text"/>
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* 3. Date Received: <input type="text"/>	4. Applicant Identifier: <input type="text" value="B-19-UC-120016"/>
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5a. Federal Entity Identifier: <input type="text"/>	5b. Federal Award Identifier: <input type="text" value="CDBG"/>
--	--

State Use Only:

6. Date Received by State: <input type="text"/>	7. State Application Identifier: <input type="text"/>
---	---

8. APPLICANT INFORMATION:

* a. Legal Name:

* b. Employer/Taxpayer Identification Number (EIN/TIN): <input type="text" value="596000558"/>	* c. Organizational DUNS: <input type="text" value="0769977900000"/>
---	---

d. Address:

* Street1:	<input type="text" value="3339 Tamiami Trail East"/>
Street2:	<input type="text" value="Public Services Division Suite 211"/>
* City:	<input type="text" value="Naples"/>
County/Parish:	<input type="text" value="Collier County"/>
* State:	<input type="text" value="FL: Florida"/>
Province:	<input type="text"/>
* Country:	<input type="text" value="USA: UNITED STATES"/>
* Zip / Postal Code:	<input type="text" value="34112-5361"/>

e. Organizational Unit:

Department Name: <input type="text" value="Public Services"/>	Division Name: <input type="text" value="Community and Human Services"/>
--	---

f. Name and contact information of person to be contacted on matters involving this application:

Prefix: <input type="text" value="Ms."/>	* First Name: <input type="text" value="Kristi"/>
Middle Name: <input type="text"/>	
* Last Name: <input type="text" value="Sonntag"/>	
Suffix: <input type="text"/>	

Title:

Organizational Affiliation:

* Telephone Number: <input type="text" value="239-252-2485"/>	Fax Number: <input type="text" value="239-252-2638"/>
---	---

* Email:

Application for Federal Assistance SF-424

*** 9. Type of Applicant 1: Select Applicant Type:**

B: County Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

* Other (specify):

*** 10. Name of Federal Agency:**

U.S. Department of Housing & Urban Development

11. Catalog of Federal Domestic Assistance Number:

14.218

CFDA Title:

Entitlement Grant - CDBG

*** 12. Funding Opportunity Number:**

* Title:

13. Competition Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

Add Attachment

Delete Attachment

View Attachment

*** 15. Descriptive Title of Applicant's Project:**

CDBG Program and Administrative Activities Countywide

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

Application for Federal Assistance SF-424

16. Congressional Districts Of:

* a. Applicant

* b. Program/Project

Attach an additional list of Program/Project Congressional Districts if needed.

Add Attachment

Delete Attachment

View Attachment

17. Proposed Project:

* a. Start Date:

* b. End Date:

18. Estimated Funding (\$):

* a. Federal	<input type="text" value="2,500,693.00"/>
* b. Applicant	<input type="text" value=""/>
* c. State	<input type="text" value=""/>
* d. Local	<input type="text" value=""/>
* e. Other	<input type="text" value=""/>
* f. Program Income	<input type="text" value="222,988.00"/>
* g. TOTAL	<input type="text" value="2,723,681.00"/>

*** 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

- a. This application was made available to the State under the Executive Order 12372 Process for review on
- b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- c. Program is not covered by E.O. 12372.

*** 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**

- Yes
- No

If "Yes", provide explanation and attach

Add Attachment

Delete Attachment

View Attachment

21. *By signing this application, I certify (1) to the statements contained in the list of certifications and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)**

** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix: * First Name:
Middle Name:
* Last Name:
Suffix:

* Title:

* Telephone Number: Fax Number:

* Email:

* Signature of Authorized Representative:

* Date Signed:

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0009
Expiration Date: 02/28/2022

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681 1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL 	TITLE Chairman
APPLICANT ORGANIZATION Collier County, FL	DATE SUBMITTED

Application for Federal Assistance SF-424

* 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application	* 2. Type of Application: <input type="checkbox"/> New <input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Revision	* If Revision, select appropriate letter(s): <input type="text"/> * Other (Specify): <input type="text"/>
--	--	--

* 3. Date Received: <input type="text"/>	4. Applicant Identifier: <input type="text" value="B-19-UC-120016"/>
---	---

5a. Federal Entity Identifier: <input type="text"/>	5b. Federal Award Identifier: <input type="text" value="HOME"/>
--	--

State Use Only:

6. Date Received by State: <input type="text"/>	7. State Application Identifier: <input type="text"/>
---	---

8. APPLICANT INFORMATION:

* a. Legal Name:

* b. Employer/Taxpayer Identification Number (EIN/TIN): <input type="text" value="596000558"/>	* c. Organizational DUNS: <input type="text" value="0769977900000"/>
---	---

d. Address:

* Street1:	<input type="text" value="3339 Tamiami Trail East"/>
Street2:	<input type="text" value="Public Services Division Suite 211"/>
* City:	<input type="text" value="Naples"/>
County/Parish:	<input type="text" value="Collier County"/>
* State:	<input type="text" value="FL: Florida"/>
Province:	<input type="text"/>
* Country:	<input type="text" value="USA: UNITED STATES"/>
* Zip / Postal Code:	<input type="text" value="34112-5361"/>

e. Organizational Unit:

Department Name: <input type="text" value="Public Services"/>	Division Name: <input type="text" value="Community and Human Services"/>
--	---

f. Name and contact information of person to be contacted on matters involving this application:

Prefix: <input type="text" value="Ms."/>	* First Name: <input type="text" value="Kristi"/>
Middle Name: <input type="text"/>	
* Last Name: <input type="text" value="Sonntag"/>	
Suffix: <input type="text"/>	

Title:

Organizational Affiliation:

* Telephone Number: <input type="text" value="239-252-2485"/>	Fax Number: <input type="text" value="239-252-2638"/>
---	---

* Email:

Application for Federal Assistance SF-424

*** 9. Type of Applicant 1: Select Applicant Type:**

B: County Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

* Other (specify):

*** 10. Name of Federal Agency:**

U.S. Department of Housing & Urban Development

11. Catalog of Federal Domestic Assistance Number:

14.239

CFDA Title:

Entitlement Grant - HOME

*** 12. Funding Opportunity Number:**

* Title:

13. Competition Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

Add Attachment

Delete Attachment

View Attachment

*** 15. Descriptive Title of Applicant's Project:**

HOME Program and Administrative Activities Countywide

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

Application for Federal Assistance SF-424

16. Congressional Districts Of:

* a. Applicant

* b. Program/Project

Attach an additional list of Program/Project Congressional Districts if needed.

Add Attachment

Delete Attachment

View Attachment

17. Proposed Project:

* a. Start Date:

* b. End Date:

18. Estimated Funding (\$):

* a. Federal	<input type="text" value="633,746.00"/>
* b. Applicant	<input type="text" value=""/>
* c. State	<input type="text" value=""/>
* d. Local	<input type="text" value=""/>
* e. Other	<input type="text" value=""/>
* f. Program Income	<input type="text" value="36,971.00"/>
* g. TOTAL	<input type="text" value="670,717.00"/>

*** 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

- a. This application was made available to the State under the Executive Order 12372 Process for review on
- b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- c. Program is not covered by E.O. 12372.

*** 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**

- Yes
- No

If "Yes", provide explanation and attach

Add Attachment

Delete Attachment

View Attachment

21. *By signing this application, I certify (1) to the statements contained in the list of certifications and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)**

** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix: * First Name:
Middle Name:
* Last Name:
Suffix:

* Title:

* Telephone Number: Fax Number:

* Email:

* Signature of Authorized Representative:

* Date Signed:

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0009
Expiration Date: 02/28/2022

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681 1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL 	TITLE  Chairman
APPLICANT ORGANIZATION  Collier County, FL	DATE SUBMITTED 

Application for Federal Assistance SF-424

* 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application	* 2. Type of Application: <input type="checkbox"/> New <input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Revision	* If Revision, select appropriate letter(s): <input type="text"/> * Other (Specify): <input type="text"/>
--	--	--

* 3. Date Received: <input type="text"/>	4. Applicant Identifier: <input type="text" value="B-19-UC-120016"/>
---	---

5a. Federal Entity Identifier: <input type="text"/>	5b. Federal Award Identifier: <input type="text" value="ESG"/>
--	---

State Use Only:

6. Date Received by State: <input type="text"/>	7. State Application Identifier: <input type="text"/>
---	---

8. APPLICANT INFORMATION:

* a. Legal Name:

* b. Employer/Taxpayer Identification Number (EIN/TIN): <input type="text" value="596000558"/>	* c. Organizational DUNS: <input type="text" value="0769977900000"/>
---	---

d. Address:

* Street1:
Street2:
* City:
County/Parish:
* State:
Province:
* Country:
* Zip / Postal Code:

e. Organizational Unit:

Department Name: <input type="text" value="Public Services"/>	Division Name: <input type="text" value="Community and Human Services"/>
--	---

f. Name and contact information of person to be contacted on matters involving this application:

Prefix: * First Name:
Middle Name:
* Last Name:
Suffix:

Title:

Organizational Affiliation:

* Telephone Number: Fax Number:

* Email:

Application for Federal Assistance SF-424

*** 9. Type of Applicant 1: Select Applicant Type:**

B: County Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

* Other (specify):

*** 10. Name of Federal Agency:**

U.S. Department of Housing & Urban Development

11. Catalog of Federal Domestic Assistance Number:

14.231

CFDA Title:

Entitlement Grant - ESG

*** 12. Funding Opportunity Number:**

* Title:

13. Competition Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

Add Attachment

Delete Attachment

View Attachment

*** 15. Descriptive Title of Applicant's Project:**

ESG Program and Administrative Activities Countywide

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

Application for Federal Assistance SF-424

16. Congressional Districts Of:

* a. Applicant

* b. Program/Project

Attach an additional list of Program/Project Congressional Districts if needed.

Add Attachment

Delete Attachment

View Attachment

17. Proposed Project:

* a. Start Date:

* b. End Date:

18. Estimated Funding (\$):

* a. Federal	<input type="text" value="200,352.00"/>
* b. Applicant	<input type="text" value=""/>
* c. State	<input type="text" value=""/>
* d. Local	<input type="text" value=""/>
* e. Other	<input type="text" value=""/>
* f. Program Income	<input type="text" value="0.00"/>
* g. TOTAL	<input type="text" value="200,352.00"/>

*** 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

- a. This application was made available to the State under the Executive Order 12372 Process for review on
- b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- c. Program is not covered by E.O. 12372.

*** 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**

- Yes
- No

If "Yes", provide explanation and attach

Add Attachment

Delete Attachment

View Attachment

21. *By signing this application, I certify (1) to the statements contained in the list of certifications and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)**

** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix: * First Name:
Middle Name:
* Last Name:
Suffix:

* Title:

* Telephone Number: Fax Number:

* Email:

* Signature of Authorized Representative:

* Date Signed:

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0009
Expiration Date: 02/28/2022

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2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
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18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL 	TITLE 
APPLICANT ORGANIZATION 	DATE SUBMITTED 

CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

Affirmatively Further Fair Housing --The jurisdiction will affirmatively further fair housing.

Uniform Relocation Act and Anti-displacement and Relocation Plan -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, (42 U.S.C. 4601-4655) and implementing regulations at 49 CFR Part 24. It has in effect and is following a residential anti-displacement and relocation assistance plan required under 24 CFR Part 42 in connection with any activity assisted with funding under the Community Development Block Grant or HOME programs.

Anti-Lobbying --To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Authority of Jurisdiction --The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with plan --The housing activities to be undertaken with Community Development Block Grant, HOME, Emergency Solutions Grant, and Housing Opportunities for Persons With AIDS funds are consistent with the strategic plan in the jurisdiction's consolidated plan.

Section 3 -- It will comply with section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701u) and implementing regulations at 24 CFR Part 135.

Signature of Authorized Official

Date

Title

Specific Community Development Block Grant Certifications

The Entitlement Community certifies that:

Citizen Participation -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

Community Development Plan -- Its consolidated plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that have been developed in accordance with the primary objective of the CDBG program (i.e., the development of viable urban communities, by providing decent housing and expanding economic opportunities, primarily for persons of low and moderate income) and requirements of 24 CFR Parts 91 and 570.

Following a Plan -- It is following a current consolidated plan that has been approved by HUD.

Use of Funds -- It has complied with the following criteria:

1. Maximum Feasible Priority. With respect to activities expected to be assisted with CDBG funds, it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low- and moderate-income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include CDBG-assisted activities which the grantee certifies are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available (see Optional CDBG Certification).

2. Overall Benefit. The aggregate use of CDBG funds, including Section 108 guaranteed loans, during program year(s) 2019-2020, 2020-2021, 2021-2022 [a period specified by the grantee of one, two, or three specific consecutive program years], shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period.

3. Special Assessments. It will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108 loan guaranteed funds, by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

In addition, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

Excessive Force -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction.

Compliance with Anti-discrimination laws -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d) and the Fair Housing Act (42 U.S.C. 3601-3619) and implementing regulations.

Lead-Based Paint -- Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, Subparts A, B, J, K and R.

Compliance with Laws -- It will comply with applicable laws.

Signature of Authorized Official

Date

Title

OPTIONAL Community Development Block Grant Certification

Submit the following certification only when one or more of the activities in the action plan are designed to meet other community development needs having particular urgency as specified in 24 CFR 570.208(c):

The grantee hereby certifies that the Annual Plan includes one or more specifically identified CDBG-assisted activities which are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community and other financial resources are not available to meet such needs.

N/A
Signature of Authorized Official

Date

Title

Specific HOME Certifications

The HOME participating jurisdiction certifies that:

Tenant Based Rental Assistance -- If it plans to provide tenant-based rental assistance, the tenant-based rental assistance is an essential element of its consolidated plan.

Eligible Activities and Costs -- It is using and will use HOME funds for eligible activities and costs, as described in 24 CFR §§92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in §92.214.

Subsidy layering -- Before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing;

Signature of Authorized Official

Date

Title

Emergency Solutions Grants Certifications

The Emergency Solutions Grants Program recipient certifies that:

Major rehabilitation/conversion/renovation – If an emergency shelter’s rehabilitation costs exceed 75 percent of the value of the building before rehabilitation, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed rehabilitation.

If the cost to convert a building into an emergency shelter exceeds 75 percent of the value of the building after conversion, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed conversion.

In all other cases where ESG funds are used for renovation, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 3 years after the date the building is first occupied by a homeless individual or family after the completed renovation.

Essential Services and Operating Costs – In the case of assistance involving shelter operations or essential services related to street outreach or emergency shelter, the recipient will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure, so long the recipient serves the same type of persons (e.g., families with children, unaccompanied youth, disabled individuals, or victims of domestic violence) or persons in the same geographic area.

Renovation – Any renovation carried out with ESG assistance shall be sufficient to ensure that the building involved is safe and sanitary.

Supportive Services – The recipient will assist homeless individuals in obtaining permanent housing, appropriate supportive services (including medical and mental health treatment, victim services, counseling, supervision, and other services essential for achieving independent living), and other Federal, State, local, and private assistance available for these individuals.

Matching Funds – The recipient will obtain matching amounts required under 24 CFR 576.201.

Confidentiality – The recipient has established and is implementing procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project, except with the written authorization of the person responsible for the operation of that shelter.

Homeless Persons Involvement – To the maximum extent practicable, the recipient will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, and operating facilities assisted under the ESG program, in providing services assisted under the ESG program, and in providing services for occupants of facilities assisted under the program.

Consolidated Plan – All activities the recipient undertakes with assistance under ESG are consistent with its consolidated plan.

Discharge Policy – The recipient will establish and implement, to the maximum extent practicable and where appropriate, policies and protocols for the discharge of persons from publicly funded institutions or systems of care (such as health care facilities, mental health facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent this discharge from immediately resulting in homelessness for these persons.

Signature of Authorized Official

Date

Title

Housing Opportunities for Persons With AIDS Certifications

The HOPWA grantee certifies that:

Activities -- Activities funded under the program will meet urgent needs that are not being met by available public and private sources.

Building -- Any building or structure assisted under that program shall be operated for the purpose specified in the consolidated plan:

1. For a period of not less than 10 years in the case of assistance involving new construction, substantial rehabilitation, or acquisition of a facility,
2. For a period of not less than 3 years in the case of assistance involving non-substantial rehabilitation or repair of a building or structure.

N/A

Signature of Authorized Official

Date

Title

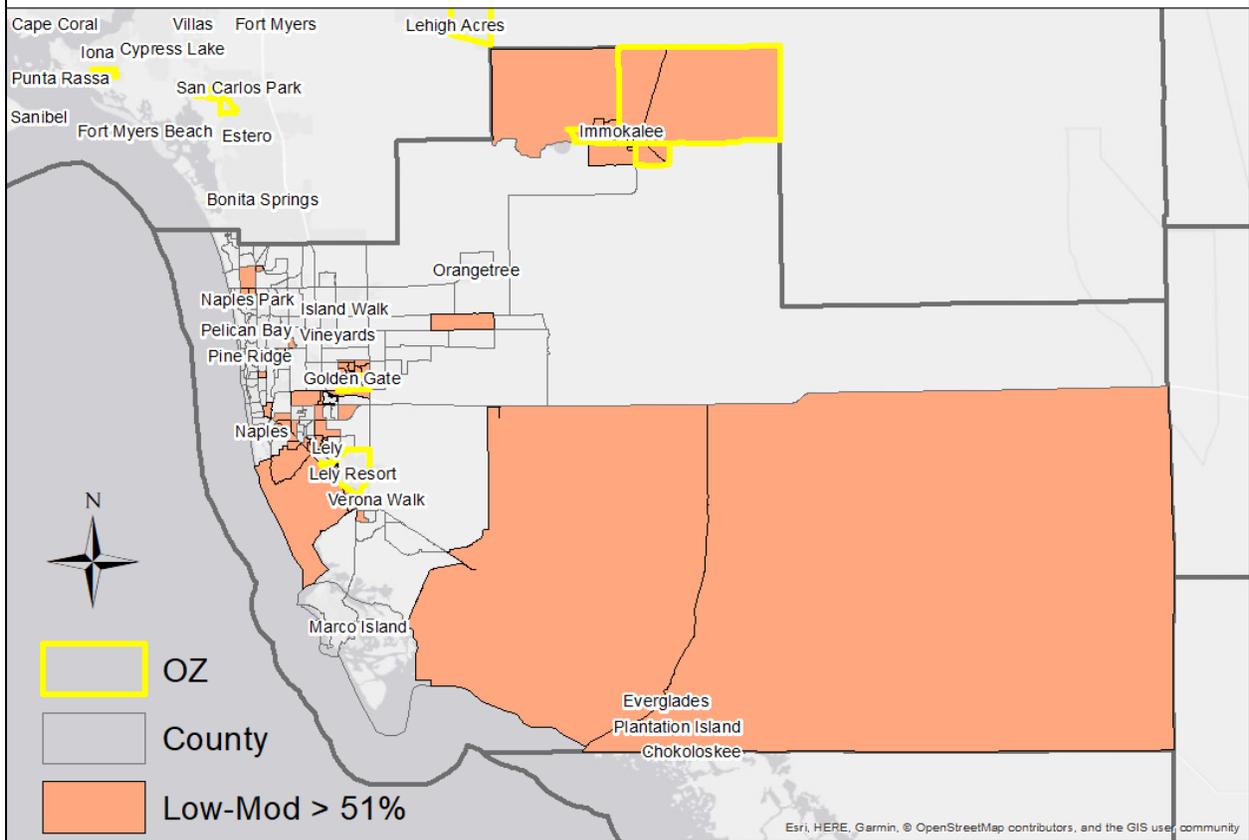
APPENDIX TO CERTIFICATIONS

INSTRUCTIONS CONCERNING LOBBYING CERTIFICATION:

Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Collier County Low Mod-Block Groups and Opportunity Zone Census Tracts



HOME RECAPTURE AND RESALE PROVISIONS FOR HOMEBUYER ACTIVITIES

Collier County uses the following for its resale provisions:

- Resale must ensure if the housing does not continue to be principal residence of the family for the duration of the affordability period, that the housing is made available for subsequent purchase only to a buyer who qualifies as a low-income family and will be used family's principal residence.
- Resales must ensure that the resale price provides original HOME assisted owner a fair return on investment and that housing will remain affordable to a reasonable range of low-income buyers.
- PJ may define 'fair return on investment' and 'affordability to a reasonable range of low-income homebuyers' and specifically address how it will make the housing affordable to low income homebuyers in the event that the resale prices necessary to provide fair return is not affordable to subsequent buyer.
- Deed restrictions, covenants running with the land or other similar mechanism must be used as the mechanisms to impose the resale requirements. Affordability restrictions may terminate upon occurrence of any of the following termination events; foreclosure, transfer in lieu of foreclose or assignment of an FHAA insured mortgage to HUD. The PJ may use purchase options, right of first refusal or other preemptive right to purchase the housing before foreclosing to preserve affordability.
- Certain housing may be presumed to meet the resale restrictions during the period of affordability without the imposition of enforcement mechanisms by the participating jurisdiction. The presumption must be based upon a market analysis of the neighborhood in which the housing is located. The market analysis must include an evaluation of the location and characteristics of the housing and residents in the neighborhood in relation to housing and incomes in the housing market area. An analysis of the current and projected incomes of neighborhood residents for an average period of affordability for homebuyers in the neighborhood must support the conclusion that a reasonable range of low-income families will continue to qualify for mortgage financing. If a participating jurisdiction in preparing a neighborhood revitalization strategy under §91.215(e)(2) of its consolidated plan or Empowerment Zone or Enterprise Community application under 24 CFR part 597 has incorporated the type of market data described above, that submission may serve as the required analysis under this section. If the participating jurisdiction continues to provide homeownership assistance for housing in the neighborhood, it must periodically update the market analysis to verify the original presumption of continued affordability.

The following guidelines are in place for recapture:

- Recapture provisions must ensure that the participating jurisdiction recoups all or a portion of the HOME assistance to the homebuyers, if the housing does not continue to be the principal residence of the family for the duration of the period of affordability. The participating jurisdiction may structure its recapture provisions based on its program design and market conditions. The period of affordability is based upon the total amount of HOME funds subject to recapture described in paragraph (a)(5)(ii)(A)(5) of this section. Recapture provisions may permit the subsequent homebuyer to assume the HOME assistance if the subsequent homebuyer is low-income, and no additional HOME assistance is provided.
- The participating jurisdiction may adopt, modify or develop its own recapture requirements for HUD approval. In establishing its recapture requirements, the participating jurisdiction is subject

to the limitation that when the recapture requirement is triggered by a sale (voluntary or involuntary) of the housing unit, the amount recaptured cannot exceed the net proceeds, if any. The net proceeds are the sales price minus superior loan repayment (other than HOME funds) and any closing costs.

- The participating jurisdiction may recapture the entire amount of the HOME investment from the homeowner.
- The participating jurisdiction may reduce the HOME investment amount to be recaptured on a prorata basis for the time the homeowner has owned and occupied the housing measured against the required affordability period.
- If the net proceeds are not sufficient to recapture the full HOME investment (or a reduced amount as provided for in paragraph (a)(5)(ii)(A)(2) of this section) plus enable the homeowner to recover the amount of the homeowner's downpayment and any capital improvement investment made by the owner since purchase, the participating jurisdiction may share the net proceeds. The net proceeds are the sales price minus loan repayment (other than HOME funds) and closing costs. The net proceeds may be divided proportionally as set forth in the following mathematical formulas:

$$\frac{\textit{HOME investment}}{\textit{HOME investment} + \textit{homeowner investment}} \times \textit{Net proceeds} = \textit{HOME amount to be recaptured}$$

$$\frac{\textit{homeowner investment}}{\textit{HOME investment} + \textit{homeowner investment}} \times \textit{Net proceeds} = \textit{amount to homeowner}$$